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EXPRESS MAIL LABEL NO.: EV 396 740 790 US

PATENT APPLICATION
Docket No.: 13768.214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Andrew W. Jacobs, et al.

Serial No.:

09/964,318

Filed:

September 26, 2001

Confirmation No.:

9927

For:

MANAGING ASYNCHRONOUS OBJECTS
RECEIVED OVER MULTIPLE
COMMUNICATION PROTOCOLS

Examiner:

Not Yet Known

) RECEIVED

JUN 15 2004

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§

102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Dated this 10th day of June, 2004.

Respectfully submitted,



RICK D. NYDEGGER
Attorney for Applicant
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RDN:kcs
KCS0000002667V001

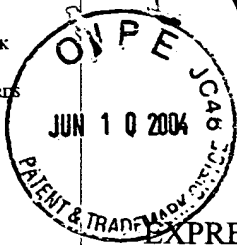
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09/964-318

EXPRESS MAIL LABEL NO.: EV396740790US PATENT APPLICATION
Docket No.: 13768.214

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- X Form PTO-1449 list of five (5) references submitted for consideration.
- X Legible copies of the listed references or their relevant portions.
- All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

- Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
- Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
- Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

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___ Legible Copies of previously filed Information Disclosure
Statement for application Serial No. ___, filed ___.

In order to secure consideration of the items designated above, one or more of the following, if required, is also enclosed:

___ Promptness Certification.

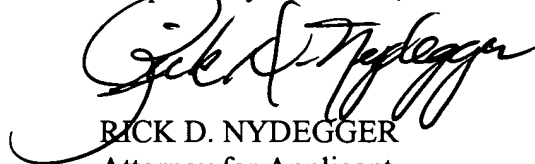
___ Check No. _____ in the amount of \$240.00 constituting
submission fee under 37 C.F.R. 1.17(p);

___ Petition for Consideration and Check No. _____ in the
amount of \$_____.

X In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is
not satisfied that the Promptness Certification meets the
requirements of 37 C.F.R. § 1.97(e), or in any other event
remediable by a fee, please credit any over payment or charge any
additional fees to Deposit Account No. 23-3178 of the
undersigned.

Dated this 10th day of June, 2004.

Respectfully submitted,



RICK D. NYDEGGER
Attorney for Applicant
Registration No. 28,651
Customer No. 022913



CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Andrew W. Jacobs, et al.				Docket No. 13768.214	
Serial No. 09/964,318	Filing Date September 26, 2001	Confirmation No. 9927	Examiner Not Yet Known	Group Art Unit 2151	
Invention: MANAGING ASYNCHRONOUS OBJECTS RECEIVED OVER MULTIPLE COMMUNICATION PROTOCOLS					
<p>I hereby certify that the Transmittal letter (2 pages) (in triplicate); Information Disclosure Statement (2 pgs); Form PTO-1449 Listing all Cited References (3 pgs); Legible Copies of Five (5) Cited References; and postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on <u>June 10, 2004</u>.</p> <div style="text-align: right;"><p>RECEIVED</p><p>JUN 15 2004</p><p>Technology Center 2100</p></div> <div style="text-align: center;"><p>Kendell Steele</p><hr/><p>(Typed or Printed Name of Person Mailing Correspondence)</p><hr/><p>(Signature of Person Mailing Correspondence)</p><p>EV 396 740 790 US</p><hr/><p>("Express Mail" Mailing Label Number)</p></div>					
KCS0000002668V001					



EXPRESS MAIL LABEL NO.: EV 396 740 790 US

Form PTO-1449

Sheet 1 of 3

Applicant: Andrew W. Jacobs, et al.

Serial No.: 09/964,318

Att'y Docket No.: 13768.214

Filing Date: September 26, 2001

Group: 2151

Confirmation No.: 9927

For: MANAGING ASYNCHRONOUS OBJECTS RECEIVED OVER
MULTIPLE COMMUNICATION PROTOCOLS

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INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Patent Number</u>	<u>Issue Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>	<u>Filing Date</u>
_____ A1.	5,418,524	05/23/95	Fennell	340	825.22	10/19/92

Foreign Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Publ. Date</u>	<u>Country or Patent Office</u>	<u>Sub Class</u>	<u>Class</u>	<u>Trans- lation</u>
_____ A2.	EP0936792A1	08/18/99	EPO	H04M	1/57	

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.: EV 396 740 790 US

Form PTO-1449

Applicant: Andrew W. Jacobs, et al.

Serial No.: 09/964,318

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MULTIPLE COMMUNICATION PROTOCOLS



Sheet 2 of 3

Att'y Docket No.: 13768.214

Group: 2151

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Other Documents

Technology Center 2100

(including author (if listed), title, relevant pages, date of publication including at least month and year).

Examiner

Initial*

- _____ A3. "SPECIFICATION OF THE BLUETOOTH SYSTEM - WIRELESS CONNECTIONS MADE EASY." Bluetooth Version 1.1, February 22, 2001.
- _____ A4. Shreedhar, M., et al. "EFFICIENT FAIR QUEUEING USING DEFICIT ROUND-ROBIN." IEEE/ACM Transactions on Networking, Vol. 4, No. 3, June 1996.
- _____ A5. "DIGITAL CELLULAR TELECOMMUNICATIONS SYSTEM (PHASE 2+) (GSM); UNIVERSAL MOBILE TELECOMMUNICATIONS SYSTEMS (UMTS); MOBILE EXECUTION ENVIRONMENT (MExE); FUNCTIONAL DESCRIPTION; STAGE 2, GSM Global Systems for Mobile Communication, V4.2.0 (2001-06)

Examiner:

Date Considered:

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form PTO-1449

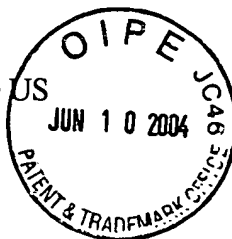
Applicant: Andrew W. Jacobs, et al.

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MULTIPLE COMMUNICATION PROTOCOLS



Sheet 3 of 3

Att'y Docket No.: 13768.214

Group: 2151

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References Cited by Applicants

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While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

KCS0000002665V001

Examiner:

Date Considered:

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.